



## 朴东炫

Advisor

TEL +82-2-316-4591  
FAX +82-2-756-6226  
E-MAIL [dhpark@shinkim.com](mailto:dhpark@shinkim.com)

Mr. Donghyun Park is an expert in finance and accounting with extensive understanding of the financial market and industry, expertise in financial regulation, and experience in various financial supervision, based on his work at PwC Korea, the Financial Supervisory Service (FSS), and Securities Crime Joint Investigation Team of Prosecutors' Office.

Mr. Park performed accounting audit and profitability assessment of real estate PF at PwC from 2006 to 2007. Also, he carried out various duties such as inspection and sanction against financial firms (banks, securities companies, asset management companies, etc.), review of financial investment licenses and approval of changes to major shareholders, authorization/approval of collective investment schemes, investigation of unfair securities trading in the capital market, and audit review of listed companies at the Financial Supervisory Service from 2008 to 2024. In addition, he was dispatched to the 'Securities Crime Joint Investigation Team', Seoul Southern District Prosecutors' Office to advise on investigation about unfair securities trading in the capital market and various illegal acts by executives and employees of financial firms in 2015 and 2023.

## 工作经历

2024-Present	Shin & Kim LLC
2024	Asset Management Supervision Department, Financial Supervisory Service (FSS)
2023	Asset Management Examination Department, Financial Supervisory Service (FSS) Securities Crime Joint Investigation Team, Seoul Southern District Prosecutors' Office (secondment)
2021-2022	Anti-Financial Fraud Office, Financial Supervisory Service (FSS)
2016-2019	Specialized Bank Examination Department, Financial Supervisory Service (FSS)
2015	Securities Crime Joint Investigation Team, Seoul Southern District Prosecutors' Office (secondment)
2014	Capital Market Investigation Department, Financial Supervisory Service (FSS)
2012-2013	Capital Market Supervision Department, Financial Supervisory Service (FSS)

2010-2011	Busan Regional Branch, Financial Supervisory Service (FSS)
2008-2009	Accounting Inspection Department, Financial Supervisory Service (FSS)
2006-2007	PricewaterhouseCoopers (PwC), Korea

## 主要业绩

### Consulting for Financial Institutions, etc.

- Advised on the appropriateness of NCR calculations for M Trust Company
- Advised on the legality of related-party transactions for G Asset Management
- Advised on the legality of TRS contracts for K Securities
- Advised on distributable profits for C Company
- Advised on the application for change of license for K Securities
- Advised on the appropriateness of asset soundness classification for K Trust Company
- Advised on permanently closed-end funds accounting matters for Korea Financial Investment Association
- Advised on the appropriateness of early redemption for A Asset Management Company
- Advised on the application for new registration in the financial investment business, etc. for C Company

### Response to Financial Company Inspections, Investigations, Disputes, etc.

- Response to inspection, investigation, and sanctions for I Asset Management
- Response to inspection and sanctions for K Asset Management
- Response to inspection for C Investment
- Response to dispute mediation application for L Securities
- Response to accounting fraud report for A Asset Management, etc.

### Advisory for Listed Companies' Response to Accounting Supervision, etc.

- Advised on accounting supervision for Company I
- Advised on accounting review for Company N
- Advised on sanctions for accounting violations for Company A
- Advised on external audit independence for Company P
- Advised on accounting supervision for Company AE
- Advised on sanctions for accounting violations for Company S, etc.

## Key Responsibilities During Tenure at the Financial Supervisory Service

- Response to the Busan Savings Bank incident (2010)
- Inspection of the Dongyang Securities large-scale mis-selling incident (2013)
- Response to the Daewoo Shipbuilding & Marine Engineering case and inspection of state-run banks (2016)
- Re-inspection of large-scale investor damage in the three major private equity fund managers (2023)
- Review related to the introduction of virtual asset ETFs (2024)

## 教育背景

2019-2021	Arizona State University W.P. Carey School of Business (MBA)
1999-2006	Korea University (B.B.A. and B.A., Linguistics)

## 资格

2006	Certified Public Accountant, Korea
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## 语言

Korean, English