



趙孝濟

Senior Advisor

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Mr. Hyojae Cho is a Senior Advisor at Shin & Kim, mainly practicing in the areas of financial and capital market regulations.

Mr. Cho is a seasoned capital markets expert with approximately 35 years of experience working at the Financial Supervisory Service and the Korea Exchange, where he handled capital market supervision, inspection, and enforcement. During his tenure at the Financial Supervisory Service (FSS), he was responsible for licensing, supervision, inspection, and sanction deliberation of securities companies and asset management companies, as well as reviewing disclosures by listed companies and investigating unfair trading practices, and gained extensive experience in core capital market regulatory functions, including sanctioning violations across financial institutions and supervising derivatives market transactions. He further served as Vice Chairman (Head of the Derivatives Market Division) at the Korea Exchange, overseeing market operations and supervision.

Currently, leveraging his practical experience in supervision, investigation, and sanctions, he provides advisory services to financial institutions and capital market participants on regulatory compliance, internal control system reviews, and issues related to disclosures and unfair trading practices.

經歷

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| 2026-Present | Shin & Kim LLC |
| 2019-2023 | Vice Chairman (Head of Derivatives Market Division), Korea Exchange |
| 2017-2019 | Deputy Director General (Disclosure & Investigation), FSS |
| 2017 | Director, Financial Investment Bureau, FSS |
| 2015-2016 | Director, Capital Markets Investigation Division 2, FSS |
| 2013-2014 | Director, Sanctions Deliberation Bureau, FSS |

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| 2011-2013 | Director, Asset Management Supervision Office, FSS |
| 2010-2011 | Director of Personnel Team (Deputy Director), General Affairs Bureau, FSS |
| 2008-2010 | Team Leader, Asset Management Services Bureau, FSS |
| 2007-2008 | Team Leader, Securities Supervision Bureau, FSS |
| 2005-2007 | Team Leader, Legal Affairs Office, FSS |
| 1988-2005 | Securities Supervisory Board (currently FSS) |

主な実績

- Advisory on Financial Supervision, Capital Market Regulation, Supervision and Examination, Sanctions, Disclosure Review, Unfair Trade Investigations, Derivatives, and Internal Control

学歴

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| 2005-2015 | Korea University, Graduate School of Law (Ph.D.) |
| 2003-2005 | Korea University, Graduate School of Law (LL.M.) |
| 1982-1986 | Korea University, School of Law (LL.B.) |

言語

Korean, English

主な活動

- A Study on Establishing a Fair Financial Transaction Order and Protecting Investors Under the Capital Markets Act (National Human Resources Development Institute, 2016)
- A Study on the Efficiency of Fund Regulation and Investor Protection Under the Capital Markets Act (Korea University, 2015)
- Commentary on Financial Law (III) (Capital Markets Act 2) (Co-authored, Korean Institute of Judicial Administration, 2013)
- A Study on the Regulation of Market Manipulation Under the Securities Exchange Act (Korea University, 2005)

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