



Dong Jun Shin

Senior Advisor

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Mr. Dong Jun Shin provides advisory services on financial investment at Shin & Kim, and his practice focuses on self-regulation of associations, including asset management, securities, real estate trusts, and K-OTC.

After joining the Korea Securities Dealers Association (currently the Korea Financial Investment Association) in 1993, Mr. Shin handled various services in the stock, bond, CP, and OTC markets and gained diverse experience and knowledge in the asset management field for 11 years, from the head of the Collective Investment Market Team at the Korea Financial Investment Association (in 2010) to the head of the Asset Management Division (in 2021). In particular, he has been deeply involved in the introduction of products with tax benefits such as tax-deductible long-term funds and KOSDAQ venture funds and has led the establishment of a low-cost and convenient fund investment platform, the Fund Super Market. He has contributed to the growth of the asset management industry, from 87 management companies and 6,000 employees to about 460 management companies and 12,000 employees, by providing practical support for the improvement of the private equity system.

Currently, Mr. Shin is involved in advising on the general financial investment business at Shin & Kim, including advisory services on fund management and sales, support for response to inspections, licensing and registration of financial investment businesses, registration of offshore funds, and self-regulation of associations such as the K-OTC, based on the knowledge and communication experience with the policy and regulatory authorities he gained over 30 years.

Mr. Shin has also been serving as a civilian member of the Steering Committee of the Pension Investment Pool of the Ministry of Strategy and Finance. The 47 trillion won pension fund investment pool is the government's integrated investment vehicle that actively pursues returns from more than 70 pension funds through asset management experts. Being recognized for his expertise, Mr. Shin has been an active civilian member of the committee in the financial sector.

Professional Career

2022-Present Senior Advisor, Shin & Kim LLC

2022-2024	Civilian Member, Steering Committee, Pension Investment Pool, Ministry of Strategy and Finance
2021-2022	Chairman, Korea Institute of Financial Investment
2018-2021	Managing Director, Asset Management Group, Representative, Korea Financial Investment Association
2016-2018	Head (Managing Director), Asset Management Service Division, Korea Financial Investment Association
2012-2016	Head, Asset Management Support Department, Korea Financial Investment Association
2010-2012	Team Leader, Collective Investment Market Team, Korea Financial Investment Association,
2009-2010	Team Leader, Bond Market Team, Korea Financial Investment Association
1993-2009	Korea Securities Dealers Association (OTC Market Management Office, Bond Division, etc.)

Key Experience

- Advised an asset management company on an inspection of the Financial Supervisory Service
- Advised on the registration of a general private equity fund business by eliminating the reasons for disqualification as major shareholder
- Advised an asset management company on violations of the Foreign Exchange Transactions Act
- Advised an asset management company on response to sanctions related to failure to report concurrent business and incidental services
- Advised an asset management company on response to sanctions for providing credit extension to major shareholders and avoiding public offering regulations
- Provided consulting on the internal control system of an asset management company
- Advised on violations of regulations on securities underwriting (demand forecasting regulations)
- Advised on registration with the Financial Supervisory Service for domestic sales of offshore public funds

Education

1994	Chungbuk National University Department of Management Information Systems (B.A.)
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Languages

Korean, English

Awards

2014	Certificate of Commendation by the Minister of Economy and Finance (merit of the Asia Region Funds Passport)
2011	Certificate of Commendation by the Chairman of Financial Services Commission (merit of industrial growth)

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