



Mu Young Yu

Partner

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Mr. Mu Young Yu is a Partner at Shin & Kim, where he advises clients on Korea Exchange (KRX) listings, including initial public offerings (IPOs) and technology special listings, disclosure compliance and continued listing matters, regulatory responses involving unfair trading practices (including market manipulation and fraudulent trading) and accounting oversight, corporate governance, shareholder and management disputes, as well as policy finance and corporate restructuring.

Mr. Yu has extensive experience in capital markets matters, with a particular focus on Korea Exchange (KRX) listings (IPOs), disclosure compliance, and continued listing matters, including representation in listing eligibility review proceedings. He has advised advanced technology companies on technology special listings on the KOSDAQ market, provided disclosure compliance consulting to assist listed companies in establishing systematic disclosure frameworks, and represented KOSPI- and KOSDAQ-listed companies in delisting defense matters and listing eligibility review proceedings. He has also advised on policy finance matters in connection with corporate restructuring, including workouts and corporate rehabilitation proceedings. Since 2023, Mr. Yu has served as a member of the Corporate Review Committee of the KOSPI Market of the Korea Exchange, further demonstrating his expertise in capital markets regulation and listing review procedures.

Mr. Yu also handles criminal proceedings involving unfair trading practices, including market manipulation and fraudulent trading, as well as responses to accounting oversight reviews and proceedings conducted by the Financial Supervisory Service (FSS) and the Securities and Futures Commission (SFC). He advises domestic and international banks, securities firms, and asset management companies on financial regulatory matters and assists with dispute resolution. In addition, Mr. Yu provides proactive advice on corporate governance reforms and board-related matters in response to recent developments in Korean corporate law. He also advises listed companies on management control disputes and related litigation, as well as appraisal proceedings arising in connection with mergers and comprehensive share exchanges, drawing on his extensive practical experience in corporate disputes to provide trusted legal services.

Professional Career

2023-Present	Member, Corporate Evaluation Committee for KOSPI Market, Korea Exchange
2022	Seoul National University, Bio Advanced Management Program
2021	Seoul National University, Finance Law Course (New Challenge in Unfair Trading Regulation under the Capital Markets Act)
2018-2021	External Examiner, Police Mutual Aid Association
2009-Present	Shin & Kim LLC
2007-2009	Judicial Research & Training Institute of the Supreme Court of Korea

Key Experience

- Advised on numerous KOSDAQ listing matters, including technology special listings.
- Represented and advised numerous clients in cases on KRX delisting and comprehensive review of listing eligibility
- Provided consulting services for the establishment of disclosure systems for KOSDAQ-listed companies through the Korea Exchange (KRX) KOSDAQ Market.
- Represented STX in matters and litigation arising from accounting oversight proceedings involving the Financial Supervisory Service (FSS) and the Financial Services Commission (FSC).
- Handled a case related to Hyundai Securities' violation of the Capital Markets Act regarding ELW
- Represented listed companies in numerous unfair trading matters under the Financial Investment Services and Capital Markets Act, including cases involving market manipulation
- Handled a damages case arising from misstatements in a securities registration statement.
- Advised on and handled multiple advisory matters and litigation proceedings relating to hostile M&A transactions, including applications for provisional injunctions for access to and inspection of corporate accounting books and records
- Advised on multiple corporate governance matters
- Handled multiple litigation proceedings involving the invalidation of comprehensive share exchanges and appraisal claims relating to share purchase prices
- Litigation related to breaches of representations and warranties clause for M&A
- Advised numerous domestic financial institutions on financial regulatory matters

Education

2016	University of Southern California Gould School of Law (LL.M.)
2007	Hanyang University College of Law (LL.B.)

Qualifications

2009	Admitted to bar, Korea
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Languages

Korean, English

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