SHIN & KIM

Asset Management & Investment Funds

Since advising on the formation of the very first investment trust in Korea, our asset management and investment funds team has represented some of the country's largest funds and most prominent asset managers and institutional investors, and has played a leading role in the development of Korea's asset management and investment fund industry. Our team provides comprehensive advice on the full range of asset management vehicles, including securities investment companies, securities investment trusts, hedge funds, real estate and infrastructure funds, registered funds and private equity funds, many with sophisticated structures subject to complex regulations during the full life cycle of a fund, from formation to exit.

We regularly assist foreign sponsors with formation and registration of funds investing in Korean assets as well as licensed asset managers in Korea on the full range of on-going regulatory compliance and licensing issues in their investments (both onshore and offshore) and alliances with foreign investment advisors. Through our extensive experience in cross-border funds practice, we have developed unparalleled resources and knowledge across all relevant legal areas and market practice.

Key Services

Our asset management practice's services include:

Registration of Collective Investment Trusts

- Establishing and managing collective investment trusts and advising on regulations affecting collective investment trusts
- Advising on fund organizational documents, asset management contracts and applicable laws and regulations
- Dispute resolution relating to asset management
- Advising on day-to-day operations of asset managers and legal compliance
- Advising on offshore fund formation and structuring
- Advising on delegating statutory asset management roles to foreign sponsors and service providers

Registration of offshore funds and foreign investment advisers

- Preparing and filing registration documentation, including amendments
- Advising on offering of offshore fund interests to Korean investors

Investment Advisor Representation

- Establishing and registering investment advisors (including Korean offices of foreign advisors)
- Preparing and reviewing contracts for investment advisory services
- Advising on investment advisory activities for specific asset classes
- Dispute resolution involving investment advisors
- Advising on day-to-day operations and activities of investment advisors and legal compliance

Key Contacts

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Awards and Rankings

- Ranked Band 1 Law Firm for Banking and Finance
  Chambers Global / Chambers Asia 2014-2026
- Ranked Tier 1 Law Firm for Banking and Finance
  Asia Pacific Legal500 2013-2019, 2024-2026
- Ranked Tier 1 Law Firm for Banking and Finance
  IFLR1000 2022-2026
- Banking and Financial Services Firm of the Year
  Asialaw Asia-Pacific Legal Practice Awards 2019

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